



**Name & Ownership Change Supplement**

Brokerage/Agency Name:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Name Change**

1. Date of name change: \_\_\_\_/\_\_\_\_/\_\_\_\_ (Mo./Day/Year)

2. Provide brokerage/agency's new legal entity name:

\_\_\_\_\_  
\_\_\_\_\_

3. Indicate reason for name change:

- Merger/Acquisition (*Complete Acquisitions & Mergers Supplement*)
- Ownership Change (*Complete "Ownership Change" information below*)
- Change in Organizational status:  Incorporated  Partnership/LLC/LLP Formed
- Marketing
- Other (*Describe*):

4. Is coverage requested for prior entity name?  Yes  No

**Ownership Change**

1. Date of Ownership Change: \_\_\_\_/\_\_\_\_/\_\_\_\_

2. Indicate reason for ownership change:

- Existing brokerage/agency personnel obtained ownership
- New brokerage/agency personnel obtained ownership
- Other (*Describe*): \_\_\_\_\_

3. a. Indicate the amount of ownership change: \_\_\_\_%

**If more than 50%, please complete 3.b. & c. and attach insurance resume of new owner.**

b. Who is responsible for liability from acts, errors and omissions, which occurred prior to the ownership change?

- Prior Owner(s)  New Owner(s)

c. How is policy to be amended based on ownership change?

- Extended Reporting Period coverage will be purchased for current policy
- Assign current policy to the new owner(s)\* **\*Subject to underwriting approval**

4. a. As a result of the ownership change, is there any entity having a 10% or more interest in the brokerage/agency or any subsidiary or affiliate of the brokerage/agency?  Yes  No **If yes, please complete 4. b.-f.**

b. Affiliate's Name: \_\_\_\_\_ c. Ownership: \_\_\_\_%

d. Affiliate's Operations:  Bank  Insurance  Real Estate/ Mortgage

Other: \_\_\_\_\_

e. Affiliation:  Parent Company  Sister Company  Holding Company  Joint Venture

f. What percent of brokerage/agency revenue is derived from insurance placement for affiliated companies? \_\_\_\_%

Signature: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Name: \_\_\_\_\_ Title: \_\_\_\_\_

(Please Print)